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Assistant Commissioner for Patents Washington, D.C. 20231

Attorney Docket No.: 020342-000900US

RECEIPT # 4
PATENT

On October 17, 2001

By: Nina L. McNeill
Nina L. McNeill

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Safwan Shah et al.

Application No.: 09/944,626

Filed: August 30, 2001

For: ACTIVE PROFILING SYSTEM FOR
TRACKING AND QUANTIFYING
CUSTOMER CONVERSION EFFICIENCY

Examiner: Unassigned

Art Unit: 2163

REQUEST FOR CORRECTED FILING
RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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MAR 05 2002
Technology Center 2100

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the number of drawings submitted with the patent application should read "6" and not 7 as shown. As proof, a copy of the Patent Application Transmittal, Application Data Sheet and postcard are attached.

Respectfully submitted,

Patrick M. Boucher
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Reg. No. 44,037

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PMB/nlm
DE 7052900 v1



020342 100900US
PLB

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
020342,626	08/30/2001	2163	0.00	020342-000900US	X6	40	4

CONFIRMATION NO. 9256

FILING RECEIPT



OC000000006844970

TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

Date Mailed: 10/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Safwan Shah, San Jose, CA;
Vali Maskatiya, Atherton, CA;
Ashim Banerjee, Westminster, CO;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 10/03/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Active profiling system for tracking and quantifying customer conversion efficiency

Preliminary Class

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OCT 15 2001

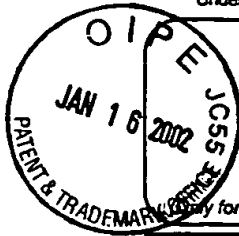
Please type a plus sign (+) inside this:



PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	20342-000900
First Inventor	Shah, Safwan
Title	ACTIVE PROFILING SYSTEM FOR TRACKING AND QUANTIFYING CUSTOMER CONVERSION EFFICIENCY
Express Mail Label No.	EL 903198721 US

APPLICATION ELEMENTS	ADDRESS TO
See MPEP chapter 600 concerning design patent application contents.	Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages 25] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the invention- Brief Summary of the invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paper number of pages c. <input type="checkbox"/> Statements verifying identity of above copies
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets 8]	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
5. Oath or Declaration [Total Pages] <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed)i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	11. <input type="checkbox"/> English Translation Document (if applicable)
	12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations
	13. <input type="checkbox"/> Preliminary Amendment
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
	17. <input type="checkbox"/> Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: ____ / ____

Prior application information: Examiner ____

Group Art Unit: ____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	20350 (Insert Customer No. or Attach bar code label here)	or <input type="checkbox"/> Correspondence address below
Name		
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City	State	Zip Code
Country	Telephone	Fax

Name (Print/Type)	Patrick M. Boucher	Registration No. (Attorney/Agent)	44,037
Signature		Date	August 30, 2001

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DE 7049578 v1



Application Data Sheet

Application Information

Application Type:: Regular
Subject Matter:: Utility
Title:: ACTIVE PROFILING SYSTEM FOR TRACKING
AND QUANTIFYING CUSTOMER CONVERSION
EFFICIENCY
Attorney Docket Number:: 020342-000900US
Request for Early Publication:: No
Request for Non-Publication:: No
Total Drawing Sheets:: 6
Small Entity?:: Yes
Petition included?:: No

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Applicant Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: Pakistan
Status:: Full Capacity
Given Name:: Safwan
Family Name:: Shah
City of Residence:: San Jose
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 1181 Valley Quail Circle
City of Mailing Address:: San Jose
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 95120



Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

Please stamp the date of receipt of the following document(s):

For: ACTIVE PROFILING SYSTEM FOR TRACKING AND QUANTIFYING CUSTOMER
CONVERSION EFFICIENCY

1. Utility Patent Application Transmittal
2. Application Data Sheet (3 pages)
3. Patent Application (25 pages of specs, claims and abstract)
4. Six (6) sheets of informal drawings
5. Information Disclosure Statement and Form 1449
6. Copies of nine (9) cited references

Express Mail No.: EL 903198721 US
Date Mailed: August 30, 2001
Atty. Docket No. 020342-000900US
Atty/Sec: PMB/nlm (Den)

SEP 13 2001
SEP 17 2001
J1036 U.S. PTO
09/944626
08/30/01

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